



Texas Health Services Authority

Board Meeting Presentation Slides

1111 E. Cesar Chavez Street

Austin, Texas 78702

September 22, 2017

10:00 am

THSA



The Authority for HIE and HIT

Agenda

Time	Item
I. Introduction	
10:00 – 10:05	Call to order, welcome and introductions, and announcements
II. Update and Consideration of THSA's Lines of Business	
10:05 – 10:45	Health Information Exchange Services <ul style="list-style-type: none">• Update and consideration of HIETexas vendor/platform switch – ACTION ITEM• Update from State Agency Partners
10:45 – 11:05	Privacy & Security Services <ul style="list-style-type: none">• Update on SECURETexas: Health Information Privacy & Security Certification• Adoption of SECURETexas multi-vendor policy – ACTION ITEM• Update on Health IT Compliance Services in support of SECURETexas Certification
11:05 – 11:15	Legal Services <ul style="list-style-type: none">• Update from THSA General Counsel on Program Utilization

Agenda (*continued*)

Time	Item
III. Reports, Updates, and Actions	
11:15 – 11:30	Board Business – ACTION ITEMS <ul style="list-style-type: none">• Consideration of Minutes of the May 19, 2017 Board Meeting• Consideration of the Finance Committee Report and Financial Statements• Consideration of FY 2018 Budget• Consideration of Updated THSA Investment Policy
11:30 – 11:45	Items to be Considered at Each Board Meeting <ul style="list-style-type: none">• Relations with local HIEs• Sustainability (State and Local)• THSA 2021 Transition Plan

Agenda (continued)

Time	Item
IV. Executive Session	
11:45 – 12:10	<ul style="list-style-type: none">• Recess into Executive Session Pursuant to Texas Government Code Section 551.074: Personnel Matters; CEO Evaluation• Reconvene into Regular Session
V. Informational Items	
12:10 – 12:15	<ul style="list-style-type: none">• Future Meeting Schedule• Future Agenda Items
VI. Public Comment	
12:15 – 12:30	<ul style="list-style-type: none">• Public Comment
VII. Adjournment	
12:30	<ul style="list-style-type: none">• Adjourn meeting

Call to Order, Welcome and Introductions, and Announcements

Update and Consideration of THSA's Lines of Business

Health Information Exchange
Services

Update on THSA's Lines of Business

Health Information Exchange

- At the May 19, 2017 board meeting, the THSA completed its business planning process by voting to switch from a query-based platform to a platform supporting those push-based services detailed in the Medicaid HIE IAPD.
- Since the May board meeting, the THSA has tentatively selected a new platform (encounter alerts) and vendor (Audacious Inquiry) to support those services.

Update on THSA's Lines of Business

Health Information Exchange (continued)

- On July 12, 2017, THSA staff tentatively selected Audacious Inquiry to support the THSA's new push-based HIE initiative.
- Before signing a contract with Audacious Inquiry, the THSA awaits signing a contract with HHSC formally implementing the IAPD, so that all flow-down provisions are accounted for in the subcontract with Audacious Inquiry.
- All objectives and milestones were scheduled to decommission the query-based environment near the end of August 2017. Staff also accounted for the potential re-commissioning of those services, if the opportunity presents itself.

Update on THSA's Lines of Business

Health Information Exchange (continued)

- As the THSA was in the process of decommissioning its query-based services with InterSystems, it became apparent that this platform would need to remain operational as gulf area patients were displaced into other parts of the state.
- The THSA entered into a short-term agreement with InterSystems and local HIEs (GHH, HASA, and ICC) to continue statewide query services for up to 90 days.
- InterSystems is providing this services free of charge for the first 30 days. Days 31-90 would be at the same rate as under the previous contract, prorated daily.
- How long should the THSA maintain this service?

Update on THSA's Lines of Business

Health Information Exchange (continued)

Metrics to decide how long to keep the query-based system up and running:

- Do local HIEs need this service to support hurricane relief?
- What does it cost to maintain this system?
- What is the data volume through HIETexas during this period?
- What is the population of people in shelters in the Austin, San Antonio, and DFW areas?

ACTION ITEM: Does the board vote to continue query services beyond 30 days? If so, how long?

Update on THSA's Lines of Business

Health Information Exchange (continued)

- *[Please see separate presentation slides providing overview of Audacious Inquiry (Ai) Event Notification System (ENS) Platform]*
- The THSA plans to have Bill Howard of Ai come present at the next board meeting.
- Staff will also coordinate a meeting with local HIEs prior to that board meeting for further implementation planning after approval of the IAPD and the underlying contract between THSA and HHSC.

Update and Consideration of THSA's Lines of Business

Health Information Exchange
Services – Update on
Medicaid HIE IAPD

Update and Consideration of THSA's Lines of Business

Update from Department of
State Health Services

Update and Consideration of THSA's Lines of Business

Privacy & Security Services

Update on THSA's Lines of Business

Privacy & Security Services

- At the February 2017 board meeting, the board voted to switch from a single-vendor to a multi-vendor approach to support SECURETexas Certification.
- The notice period of the THSA's contract with HITRUST ended on June 18, 2017, thus enabling staff to move forward with its multi-vendor approach.
- The THSA began an open enrollment period to onboard multiple preferred vendors to support the program.
- To date, staff has onboarded four preferred vendors, with several more interested in joining.

Update on THSA's Lines of Business

Privacy & Security Services

- Moving to a multi-vendor format requires some policy changes (please see attachment no. 1, page 2 in your attachments document)
- Overview of multi-vendor policy:
 - The THSA remains the certifying body to ensure oversight and quality of the program;
 - Vendors apply to be preferred vendors and, if approved, send assessment information to the THSA for its review; and
 - This allows experts already in the field to help grow the program.
- **ACTION ITEM:** Does the board vote to implement the SECURETexas multi-vendor policy?

Update on THSA's Lines of Business

Privacy & Security Services

- At the February 2017 board meeting, the board also voted to implement services in support of SECURETexas Certification. These services assist covered entities in not just measuring compliance – but building compliance.
- Health IT Compliance Services:
 - Customization of policies & procedures
 - Remote compliance officer
 - Security risk assessment
 - Privacy and security training
 - Security audit manager
 - Partner risk manager

Update on THSA's Lines of Business

Privacy & Security Services

- **Service:** Customization of Policies and Procedures
- **Program overview:** The THSA will assist covered entities in reviewing and customizing their privacy and security policies and procedures.
- **Current status:** This program is active today, and the THSA may serve covered entities, as needed.

Update on THSA's Lines of Business

Privacy & Security Services

- **Service:** Remote Compliance Officer
- **Program overview:** The THSA's remote compliance officer program can support smaller covered entities in many ways, including assistance with:
 - Which laws are applicable to what information;
 - What information a provider can send to a health plan in response to a request for PHI;
 - When an authorization is required; and
 - How to respond to requests for PHI from law enforcement.
- **Current status:** This program is active today, and the THSA may serve covered entities, as needed.

Update on THSA's Lines of Business

Privacy & Security Services

- **Service:** Security Risk Assessment
- **Program overview:** The THSA will provide security risk assessments to covered entities utilizing an assessment tool that makes it easy for healthcare providers to navigate the assessment process. These assessments are required on a regular basis under HIPAA.
- **Current status:** This program is active today, and the THSA may serve covered entities, as needed.

Update on THSA's Lines of Business

Privacy & Security Services

- **Service:** Privacy & Security Training
- **Program overview:** The THSA provides access to online courses utilizing the latest research to ensure the understanding and retention of critical information to minimize breach incidents.
- **Current status:** This program is active today, and the THSA has entered into a referral partnership with Nivola Healthcare Solutions, who produces the online training videos and courses.

Update on THSA's Lines of Business

Privacy & Security Services

- **Service:** Security Audit Manager
- **Program overview:** Security Audit Manager (SAM) is a comprehensive solution that manages a covered entity's patient privacy program and automatically detects privacy breaches.
- **Current status:** This program is active today. The THSA does not offer this services directly, but has entered into a referral partnership with Iatric Systems, who operates the SAM system.

Update on THSA's Lines of Business

Privacy & Security Services

- **Service:** Partner Risk Manager
- **Program overview:** Partner Risk Manager (PRM) is a web-based application that manages vendor risk to ensure due diligence with third-party relationships. Productivity is enhanced through automated workflow logic which determines specific questionnaires and attributes required, and then assigns risk based on the answers.
- **Current status:** This program is active today, and the THSA has entered into a referral partnership with Iatric Systems, who operates the PRM system. The THSA is, however, pursuing this service more fully.

Update and Consideration of THSA's Lines of Business

Legal Services – Presentation
by THSA General Counsel

THSA Board Business

Board Business Action Items

- Consideration of Minutes of the May 19, 2017 Meeting – **ACTION ITEM**
- Consideration of the Finance Committee Report and Financial Statements – **ACTION ITEM**
- Consideration of the Updated THSA Investment Policy – **ACTION ITEM**
- Consideration of the proposed FY 2018 Budget – **ACTION ITEM**

Consideration of Board Meeting Minutes

From the May 19, 2017 THSA Board Meeting

May 19, 2017 Meeting Minutes

The May 19, 2017 Board Meeting Minutes are available for review as attachment no. 2 on pdf page 7 of the attachments document.

Action Item: Vote to approve meeting minutes.

Consideration of the THSA's Financial Statements

Consideration of Financial Statements

The THSA's FY 2017 Q3 Financial Statements are available for review as attachment no. 3 on pdf page 11 of the attachments document.

Action Item: Vote to approve financial statements.

Consideration of the FY 2018 Budget

Consideration of FY 2018 Budget

The THSA's FY 2018 Budget is available for review as attachment no. 4 on pdf page 22 of the attachments document.

Action Item: Does the board vote to approve budget option A or budget option B as laid out on PDF page 23 of your attachments document?

Consideration of Updated Investment Policy

Updated THSA Investment Policy

The THSA's proposed updated investment policy is available for review as attachment no. 5 on pdf page 24 of the attachments document. These updates reflect the OAG opinion that the THSA's funds are subject to the Public Funds Investment Act.

Action Item: Vote to approve the updated investment policy.

Items to be Covered at Each Board Meeting

Items to be Covered at Each Board Meeting

At the THSA's August 19, 2016 board meeting, Dr. Fred Buckwold, Board Secretary, suggested the THSA address each of the following items at each future board meeting:

- Relationship with local HIEs
- Sustainability – THSA
- Sustainability – Local HIEs
- THSA 2021 Transition Plan to a private, non-profit entity

Items to be Covered at Each Board Meeting

Relationship with Local HIEs

The THSA has made a concerted effort to ensure good relations with our local HIEs in many regards:

- Increased communication for HIETexas business dealings;
- Local HIE representative added to the THSA board;
- Local HIE participation in CEO selection process;
- Local HIE participation in Medicaid HIE IAPD Update; and
- Individual and group participation in the business planning process.

Specific collaborations with local HIEs since our last board meeting:

- Phone calls and meetings to discuss implementation of the business planning process.

Update from Andrew Lombardo, local HIE representative, on local HIE relations:

- Andrew, how are local HIE/THSA relations going from the local HIE point of view?

Items to be Covered at Each Board Meeting

Sustainability – THSA

- Please see the THSA sustainability report (attachment 6, pg. 29 in your attachments document) for an overview of the THSA's financial sustainability.
- This report:
 - (1) Provides an overview of recent changes to THSA programs, and their financial effects;
 - (2) highlights the difference in the THSA's burn rate before and after changes to each of these programs; and
 - (3) summarizes profits and losses looking backward and forward to forecast how financially successful the THSA must be across all programs in order to remain financially sustainable.
- Please note that this report is intended to be a living document that will be updated on a regular basis.

Items to be Covered at Each Board Meeting

Sustainability – Local HIEs

- Several of our local HIEs are participating in the Strategic HIE Coalition (AKA – SHIEC), who recently held their annual conference, and discussed sustainability specifically.
- Lessons learned from SHIEC sustainability presentation:
 - Basic push and pull services are not relevant enough to ensure sustainability. HIEs must provide additional value to stakeholders, measured by increased revenue or cost savings.
 - HIEs must become more integrated into population health. Alerts alone are not enough, but must incorporate logic that can automatically launch business processes.
 - In the end, HIEs must evolve and become more relevant to their constituents (i.e., must match services to current market demands)
- Andrew, anything additional to add from our local HIE representative?

Items to be Covered at Each Board Meeting

Transition Plan – 2021

The THSA has begun the long transition from its current quasi-governmental status to becoming a fully private entity on September 1, 2021 (subject to any changes during the 2019 or 2021 legislative sessions):

- In November 2016, the board voted to implement pricing structure changes to the THSA's legal services program;
- In February 2017, the board voted to expand support for the SECURETexas Certification program (multi-vendor approach; expanded services); and
- In May 2017, the board voted to implement changes to the THSA's HIE infrastructure that are financially conservative and dependent upon support from the public and private sector.

All of the above changes have significantly improved the THSA's ability to fulfill its mission, and its ability to be more financially independent as we make this multi-year transition.

Executive Session

Pursuant to Texas Government
Code Section 551.074: Personnel
Matters; CEO Evaluation

Future Meeting Schedule

Future Meeting Schedule

THSA Board of Director Meetings for 2018:

- January 12, 2018
- April 6, 2018
- July 20, 2018
- October 5, 2018

Future Agenda Items?

Attachments

Attachments

Please see the separate document that was emailed to you that includes the following attachments:

Number	Name
1	SECURETexas Multi-Vendor Policy
2	May 19, 2017 Board Meeting Minutes
3	THSA FY 2017 Q3 Financial Statements and General Ledger
4	THSA Proposed FY 2018 Budget
5	THSA Updated Investment Policy
6	THSA Sustainability Report
7	Acronym List
8	THSA Board Roster
9	List of Interests

Public Comment

Adjourn